



Compliance recording for finance

Flexible solutions for regulatory demands



RED BOX
RECORDERS

Red Box delivers recording solutions to 6/10 of the world's top banks*



Recording solutions for compliance needs

In a world of ever-tightening and ever-changing regulation, the need for financial institutions and service providers to keep a secure and accurate record of voice and data communications is greater than ever.

With the introduction of regulations such as MiFID II, there is a need to:

- Capture and store all communications in high quality
- Retain recordings for at least 5 years
- Accurately reconstruct conversations quickly
- Provide accurate information to regulators
- Evaluate recording process effectiveness

Quantify Recording Suite from Red Box helps financial organisations of all sizes comply with regulations promulgated by bodies including the Commodity Futures Trading Commission (CFTC), European Securities and Markets Authority (ESMA) and Financial Conduct Authority (FCA), which enforce voice and data recording for record keeping. Such legislation is designed to help organisations improve operational transparency, deter market abuse and mitigate risk.

£1.5bn

The amount levied in fines by the FCA in 2014⁺

Capturing voice and data

Easy to deploy and use, yet highly secure and resilient, Quantify captures voice and data across your entire organisation's front, middle and back offices. Recordings can easily be replayed and analysed for fact verification, evidence gathering, trade reconstruction and investigation. It's key to an efficient and compliant organisation.

*Source: The Banker Database
Top 1000 World Banks 2015 as published in the July 2015 issue of The Banker Magazine
Banks ranked by Tier 1 Capital

⁺Source: Financial Conduct Authority, UK

The advantages of recording

Recording communications benefits your organisation by:

- Helping you meet regulatory requirements
- Providing liability protection
- Protecting against fraud and unethical business practices
- Allowing trades and other dealings to be quickly and efficiently investigated



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Rationalising our infrastructure and reducing costs while increasing our quality of service is a constant focus for us. Red Box has allowed Tradition to achieve this goal by providing an efficient, easy-to-use solution that records both turrets and IP telephony.

Yann L'Huillier, Group Chief Information Officer, Tradition

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For all your recording needs

Quantify Recording Suite, Red Box's comprehensive voice and data recording solution, is designed to aid compliance with financial regulations.



Replay calls on demand

Whether your organisation uses fixed-line phones, mobiles or dealerboards across its front, middle and back offices, Quantify Recording Suite can be relied upon to capture all voice and data communications. To comply with legislation, it uses a centralised time protocol which ensures that all recordings are time-stamped using Coordinated Universal Time (UTC).

With built-in search and replay, Quantify makes it easy to locate and listen to recorded communications based on custom criteria such as time, date, trader name and turret number. Plus, with the powerful Quantify AudioSearch, it's even possible to accurately search the spoken content of recordings for mention of specific words and phrases.



Reconstruct trades

The ability to locate recordings and reconstruct trades is a key element of financial regulations, but gathering evidence can be laborious and time-consuming. The process is further complicated by the fact that piecing together trading activity can involve the review of evidence captured across many different devices.

Quantify Event Reconstruct allows detailed search results to be grouped into files of interest, which can be viewed as event timelines. Where required, these files can be shared with investigation and compliance teams.



Store data securely

Data security is vital for financial institutions, with many regulations requiring that data is protected against alteration and deletion. Write Once, Read Many (WORM) compliance is central to this.

Quantify Recording Suite's frame-based technology stores data in a proprietary format for security. All active recording channels are saved into single-frame files, each containing just a few seconds of data. This unique method minimises fragmentation of stored data and risk of file loss.

Quantify is compatible with leading WORM-compliant Network Attached Storage (NAS) archiving products

Using the same NAS archiving process, Quantify also provides a seamless integration with Bloomberg Vault for the ultimate archiving capability.

Leading the way in compliance recording

For over 25 years we've been developing solutions that meet the precise regulatory needs of the finance industry.

Reasons to choose Red Box Recorders:



Knowledgeable and experienced employees

Red Box employees are voice recording specialists. We're skilled in both traditional and IP recording technologies, we keep up to date with the latest regulations and continually enhance the solutions we offer.



Proven technology

Quantify Recording Suite, our voice and data recording solution, provides the levels of functionality, security and resilience needed to uphold business continuity and compliance.



Unrivalled connectivity

By integrating with the widest range of telephony, trading and Unified Communications (UC) platforms, we offer the ability to capture incoming, outgoing and internal communications across fixed-line and mobile phones, dealerboards, and more.



Recording flexibility

Licensable applications that operate within one easy-to-use software platform give Red Box customers the ability to choose the precise recording functionality for their requirements.



Best-in-class support

With a commitment to provide customers and partners with the highest levels of support, our global team of professionals offers a 24/7 follow-the-sun model designed to meet business needs.

Benefits of our leading technology

Choose a recording solution that can capture all your spoken communications and offers:

Flexible deployment options

Choice of turnkey, software-only and virtual deployment options.

Frame-based recording

This unique method of saving and storing recorded data is secure and highly efficient.

Extensive connectivity

Our partnerships with leading technology vendors mean we record the widest range of phones, softphones, mobiles and dealerboards.

Small footprint

Capture up to 1000 concurrent channels of telephony on just one server.

Call authentication

All recordings are given a unique digital signature which proves they haven't been tampered with.

Resilience

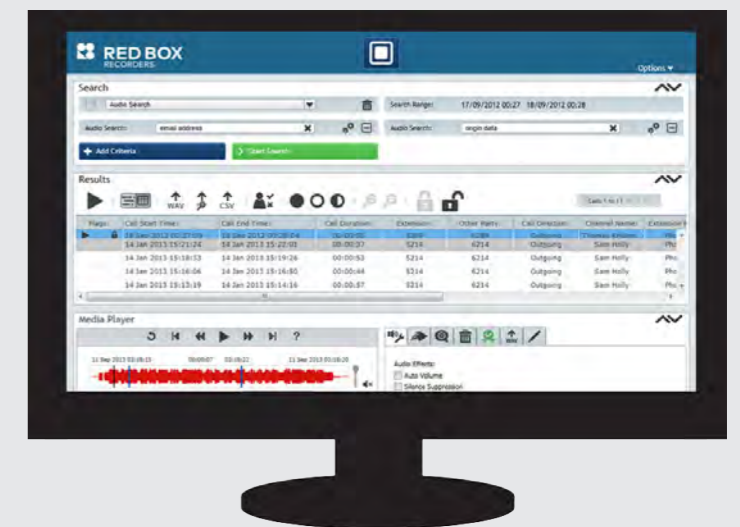
Automated failover options provide the protection needed to keep businesses operational and compliant.

Scalability

Our flexible solution makes it easy to capture additional channels when required.

Ease of use

An intuitive browser-based interface with built-in support centre means minimal operator training is needed.



Core Quantify applications

Essential recording functionality for compliance.



Quantify Search and Replay

Capture and store voice and data communications across your organisation, and easily retrieve, replay and share recordings with this central Quantify application.



Quantify Live Acquire

Listen to calls as they happen so that managers and compliance teams can monitor conversations for adherence to protocols and standards.



Quantify Insight & Quantify Assure

Monitor and maintain the health of your recording system, and perform automated verification of audio recording. Quantify Insight and Quantify Assure deliver the highest possible standard of compliance assurance available, 24/7.

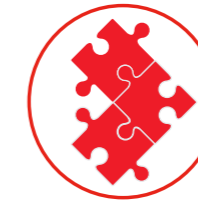
Extra functionality for business demands

Additional applications to make compliance even easier.



Quantify AudioSearch

Quickly search large volumes of spoken audio content for trade validation, investigation, dispute resolution and compliance checking.



Quantify Event Reconstruct

Accurately reconstruct trades using data captured across multiple sources to compile evidence for auditing, disclosure and compliance. Build a visual timeline of a trade and replay all recordings concurrently.



Quantify CallSafe

Lock down important calls that need to be kept beyond the period of a standard retention policy. CallSafe places them into secure network storage where they can be held indefinitely.

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We needed a recording solution that has the scope and functionality to be used across our multiple trading sites. The ease of use and flexibility of the Red Box Quantify system means we are best placed to meet our recording needs now and in the future.

Keith Hill, Senior Manager, Network Services, Scotiabank Trading Floors

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Red Box continues to support our ongoing replacement programme with a simple and effective recording solution. In particular, the ability of this latest system to record both turrets and IP telephony in a single solution will enable us to streamline our infrastructure and ensure we are best placed to operate in a compliant and responsible manner.

Chawakarn Shinapatchaiyaporn, Senior Technical Support Manager, Bank of Thailand

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Working closely alongside our partners

By working with the world's leading IT, telephony and trading vendors we offer the assurance that our advanced recording solutions meet the highest standards for integration and interoperability.



Discover more

Contact our specialist team today to discuss your recording needs and arrange a one-to-one demonstration.

Just five reasons to get in touch:

- + With over 25 years' experience, we are compliance recording specialists
- + Our solutions are trusted by financial institutions globally
- + We deliver software that's easy to install, use and maintain
- + We are partnered with key technology vendors for broad connectivity
- + An extensive network of global channel partners have chosen Red Box



0845 262 5005
info@redboxrecorders.com
www.redboxrecorders.com

Red Box Recorders Limited
Registered office: Bradmore Business Park,
Loughborough Road, Bradmore, Nottingham NG11 6QA, UK
T +44 (0)845 262 5005 E info@redboxrecorders.com



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